



08-08-01

036

PATENT

ATTORNEY DOCKET NO. PARE.002.02US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Balint, *et al.*

) Examiner: Not yet assigned

Serial No.: 09/764,163

) Art Unit: Not Yet Assigned

Filed: January 16, 2001

Title: **CIRCULARLY PERMUTATED,
INTERACTION-ACTIVATED
PROTEINS**

) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT**
) **TRANSMITTAL**

BOX DD

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is:

- [X] A Supplemental Information Disclosure Statement.
- [X] A PTO-1449.
- [X] An International Search Report.
- [X] Copies of references B1-5, C18 and 19 and D1 listed on the PTO-1449 form.
- [X] Return postcard (postage prepaid).

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EL87300197545

Date of Deposit: 8-7-01

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

(Signature)

(Printed Name)

Francis Guery
Francis Guery

1. ☒ This Information Disclosure Statement is filed before the later of:
 - ☐ three months from the filing date of this national application;
 - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
 - ☒ the mailing date of a first office action on the merits.

☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
 - (1) a final action under 37 C.F.R. § 1.113; or
 - (2) a Notice of Allowance under 37 C.F.R. § 1.311.

☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:

 - ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$240; or
 - ☐ a certification as stated below.
3. ☐ This Information Disclosure statement is filed before the payment of the issue fee, but after either:
 - (1) a final action under 37 C.F.R. § 1.113; or
 - (2) a Notice of Allowance under 37 C.F.R. § 1.311.

☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:

 - (1) A certification, as stated below;
 - (2) A Petition requesting consideration of the Information Disclosure Statement; and
 - (3) The petition fee set forth in 37 C.F.R. § 1.17(i)(1) in the amount of \$130.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

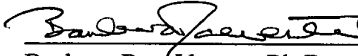
The fees indicated above are:

TOTAL FEES: \$

- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 18-0020 in the amount of: \$ _____.
- ☐ A check in the amount of \$ _____ is attached.
- ☒ **No fee is required.**
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A duplicate copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated: August 3, 2001



Barbara Rae Venter, Ph.D.

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BRV/dhw



PATENT

ATTORNEY DOCKET NO. PARE.002.02US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5

In re application of: Robert F. Balint *et al*) Examiner: Not Yet Assigned
Serial No.: 09/764,163) Art Unit: Not Yet Assigned
Filed: January 16, 2001) SUPPLEMENTAL INFORMATION
For: CIRCULARLY PERMUTATED,) DISCLOSURE STATEMENT
INTERACTION-ACTIVATED PROTEINS)

BOX DD

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This Supplemental Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98(a)(2)(iii) requiring submission of copies of cited pending U.S. patent applications, and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EL 87300197545
Date of Deposit: 8-7-01

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

(Signature) [Signature]
(Printed Name) Francis Guerny

PATENT

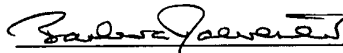
ATTORNEY DOCKET NO. PARE.002.02US

Please find a copy of reference D1 enclosed, which is being submitted in accordance with the new rule change pertaining to citation of pending US patent applications. Also enclosed are copies of references B1-5 and C18 and 19 listed on the PTO-1449.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material. The subject application is believed patentable over any of the above references.

Respectfully submitted,

Dated: August 3, 2001


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PATENT



DOCKET NO. PARE.002.02US

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 1 of 2

In re the application of: Robert F. Balint, *et al.*] Art Unit: 1645
Serial No. 09/764,163] Examiner: Not Yet Assigned
Filed: January 16, 2001]

U.S. PATENT DOCUMENTS

Ref. <u>Desig.</u>	Examiner's <u>Initials</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>	Class/ <u>Subclass</u>	<u>Filing Date</u>
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PENDING U.S. PATENT DOCUMENTS

Ref. <u>Desig.</u>	Examiner's <u>Initials</u>	Document <u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Filing Date</u>
D1		09/526,106		Balint, B.F., <i>et al.</i>	3-15-00

FOREIGN PATENT DOCUMENTS

Ref. <u>Desig.</u>	Examiner's <u>Initials</u>	Document <u>Number</u>	<u>Date</u>	<u>Country</u>	Class/ <u>Subclass</u>	<u>Filing Date</u>
B1		WO 98 44350	10-8-98	PCT		4-2-98
B2		WO 98 34120	8-6-98	PCT		2-2-98
B3		WO 95 29195	11-2-95	PCT		4-7-95
B4		WO 99 28746	6-10-99	PCT		12-4-98
B5		WO 00 07038	2-10-00	PCT		7-30-99

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.



DOCKET NO. PARE.002.02US

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)
Sheet 2 of 2

In re the application of: Robert F. Balint, <i>et al.</i>]	Art Unit: 1645
]	
Serial No. 09/764,163]	Examiner: Not Yet Assigned
]	
Filed: January 16, 2001		

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Examiner's
Desig. Initials

C18	Pelletier, <i>et al</i> , Protein Engineering. (1997), 10:89
C19	Johnson, <i>et al</i> , Proc Natl Acad Sci. (1994) 91:10340-10344

Examiner:

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